

09-15-03 Image

1764

PATENT
030403-1 (formerly T268.12-0048)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit: 1764 :
Examiner: :
In re application of: : VIAL HANDLING SYSTEM WITH IMPROVED
Schmidt et al. : MIXING MECHANISM
Serial No.: 09/803,405 :
Filing Date: March 9, 2001 :

INFORMATION DISCLOSURE STATEMENT

September 12, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Commissioner:

Applicants, in accordance with the duty of disclosure pursuant to 37 C.F.R. § 1.56, hereby advise the United States Patent and Trademark Office of the references listed on the accompanying Form PTO/SB/08 *Information Disclosure Statement by Applicant* (substitute for Form 1449A/PTO). A copy of each of the cited references is herewith enclosed.

Express Mail Number ER524410202US

Date of Deposit September 12, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

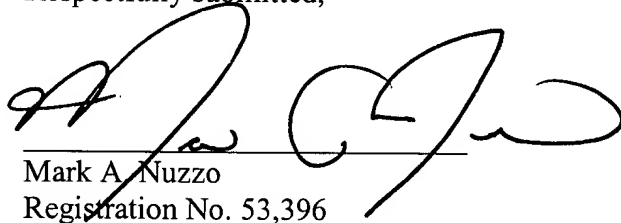
Patricia G. Mach

Applicants note that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

Applicants further note that the filing of this *Information Disclosure Statement by Applicant* is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants submit that no fee is necessary for consideration of this *Information Disclosure Statement by Applicant*. Nevertheless, the Commissioner is hereby authorized to charge any additionally required fees deemed necessary for consideration of this *Information Disclosure Statement by Applicant* to Account No. 11-1110.

Respectfully submitted,



Mark A. Nuzzo
Registration No. 53,396

KIRKPATRICK & LOCKHART LLP
Henry W. Oliver Building
535 Smithfield Street
Pittsburgh, Pennsylvania 15222-2312

Telephone: (412) 355-6292
Facsimile: (412) 355-6501
e-mail: mnuzzo@kl.com



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/803,405		
		Filing Date	March 9, 2001		
		First Named Inventor	Schmidt		
		Art Unit	1764		
		Examiner Name			
Sheet	1	of	2	Attorney Docket Number	030403-1 (T268.12-0048)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US2002/0094304 A1	Jul 18, 2002	Yang et al.	
		US2003/0003596 A1	Jan 02, 2003	Pawliszyn	
		US2002/0168778 A1	Nov 14, 2002	Andrien, JR et al.	
		3,521,715	Jul 28, 1970	Krutein	
		4,038,875	Aug 02, 1977	Walkotten	
		4,310,057	Jan 12, 1982	Brame	
		5,227,139	Jul 13, 1993	Wong	
		5,945,070	Aug 31, 1999	Kath et al.	
		5,948,360	Sep 07, 1999	Rao et al.	
		6,042,787	Mar 28, 2000	Pawliszyn	
		6,180,410 B1	Jan 30, 2001	Gerstel et al.	
		6,447,728 B1	Sep 10, 2002	Wilmes et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				

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¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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PI-1054122 v1 0215786-0387



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		Art Unit	1764		
		Examiner Name			
Sheet	2	of	2	Attorney Docket Number	030403-1 (T268.12-0048)

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
		United States Patent Application No. 09/803,407 filed March 9, 2001. (Attorney Docket No. 030403-2)	
		United States Patent Application No. 09/803,412 filed March 9, 2001. (Attorney Docket No. 030403-3)	
		United States Patent Application No. 09/803,414 filed March 9, 2001. (Attorney Docket No. 030403-4)	
		United States Patent Application No. 09/803,721 filed March 9, 2001. (Attorney Docket No. 030403-5)	

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